

Name of Listed Equity: KALPATARU ENGINEERING LTD.

Scrip Code:

Shareholding Pattern Filed under: Reg. 31(1)(b) Quarter Ended: 30/09/2016

| Particulars | Yes | No |
|--|-----|----|
| 1 Whether the Listed Equity has issued any Partly Paid up shares? | | No |
| 2 Whether the Listed Equity has issued any Convertible Securities or Warrants? | | No |
| 3 Whether the Listed Equity has any shares against which Depository Receipts are issued? | | No |
| 4 Whether the Listed Equity has any shares in Locked-in? | Yes | |
| 5 Whether any shares held by Promoters are Pledge or otherwise encumbered? | | No |

TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

| Category | Name of shareholders | Nos. of Shareholders | No. of Fully Paid-up Equity shares Held | No. of Partly Paid-up Equity shares Held | No. of Shares Underlying Depository Receipt | Total Nos. Shares Held (VII) = (IV)+(V)+(VI) | Shareholding Calculated as per SCRB, 1957 (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying outstanding convertible securities (including Warrants) (X) | Shareholding as % assuming full conversion of (XI)-(VII)+(X) as a % of (A)+(B)+(C) | Number of Locked in shares (XII) | Number of Shares pledged or otherwise encumbered (XIII) | Number of Equity Shares Held in dematerialized form (XIV) |
|----------|--------------------------------|----------------------|---|--|---|--|---|---|-----------------|----------------|--|--|----------------------------------|---|---|
| | | | | | | | | Class X | Class Y | Total | | | | | |
| (A) | Promoter & Promoter Group | 25 | 5450109 | | | 5450109 | 29.924 | 5450109 | 5450109 | 29.924 | 29.924 | 5075709 | 27.868 | 5343109 | |
| (B) | Public | 186 | 12763291 | | | 12763291 | 70.076 | 12763291 | 12763291 | 70.076 | 70.076 | | | 12140291 | |
| (C) | Non Promoter - Non Public | | | | | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | | | | | |
| | TOTAL | 211 | 18213400 | | | 18213400 | 100.000 | 18213400 | 18213400 | 100.000 | 100.000 | 5075709 | 27.868 | 17483400 | |

TABLE II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

| Category | Name of shareholders | PAN | Nos. of [Shareholders] | No. of Fully Paid-up Equity shares Held | No. of Partly Paid-up Equity shares Held | No. of Shares Underlying Depository Receipt | Total Nos. Shares Held | Shareholding Calculated as per SCRB, 1957 As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying outstanding securities (including Warrants) (X) | Shareholding as % assuming full conversion of [of diluted share capital] (XI)-(VII)+(X) as a % of (A)+(B)+(C) | Number of Locked in shares (XII) | Number of Shares pledged or otherwise encumbered (XIII) | Number of Equity Shares Held in demat form (XIV) |
|----------|--|------------|---------------------------|--|---|--|------------------------------|--|--|---------|-------|--|--|--|---|--|
| | | | | | | | | | No. of Voting Rights | | | | | | | |
| | | | | | | | | | Class X | Class Y | Total | | | | | |
| (1) | Indian | (II) | (III) | (IV) | (V) | (VI) | (VII) = (IV)+(V)+(VI) | (VIII) | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | | 8 | 70900 | | | 70900 | 0.389 | 70900 | | | 0.389 | | | | 61900 |
| | AJAY KUMAR AGARWAL | | | 9000 | | | 9000 | 0.049 | 9000 | | | 0.049 | | | | 9000 |
| | AMIT KUMAR AGARWALLA | AFJPA2438Q | | 9000 | | | 9000 | 0.049 | 9000 | | | 0.049 | | | | 9000 |
| | R M KOTHARI | AGHR4733K | | 8400 | | | 8400 | 0.046 | 8400 | | | 0.046 | | | | 8400 |
| | RADHESHYAM AGARWAL | AAHHR6238Q | | 9000 | | | 9000 | 0.049 | 9000 | | | 0.049 | | | | 9000 |
| | RAJNI PARAKH | AFOPK9236R | | 9000 | | | 9000 | 0.049 | 9000 | | | 0.049 | | | | 9000 |
| | REENA BHUTORIA | ADWPB4079L | | 9000 | | | 9000 | 0.049 | 9000 | | | 0.049 | | | | 9000 |
| | SUNITA AGARWAL | ABEPA7966G | | 9000 | | | 9000 | 0.049 | 9000 | | | 0.049 | | | | 9000 |
| | TRARA DEVI KOTHARI | ALAPK4206B | | 8500 | | | 8500 | 0.047 | 8500 | | | 0.047 | | | | 8500 |
| (b) | Central Government/State Government(s) | | | | | | | | | | | | | | | |
| (c) | Financial Institutions/Banks | | | | | | | | | | | | | | | |
| (d) | Any Other | | | | | | | | | | | | | | | |
| | Bodies Corporate | | 17 | 5379209 | | | 5379209 | 29.534 | 5379209 | | | 29.534 | 5075709 | 27.868 | | 5281209 |
| | ERDANT SALES PRIVATE LIMITED | AACCE2948J | | 302000 | | | 302000 | 1.658 | 302000 | | | 1.658 | 252000 | 1.384 | | 302000 |
| | GLORY TRADE & EXPORTS LTD | | | 20000 | | | 20000 | 0.110 | 20000 | | | 0.110 | | | | 20000 |
| | KIRSTEN TIEUP PRIVATE LIMITED | AACCK9172A | | 1269200 | | | 1269200 | 6.968 | 1269200 | | | 6.968 | 1219200 | 6.694 | | 1269200 |
| | MANGALRASHI COMMOTRADE PRIVATE LIMITED | AAICM0216H | | 252000 | | | 252000 | 1.384 | 252000 | | | 1.384 | 252000 | 1.384 | | 252000 |
| | MARK HOMES & CONSTRUCTIONS PRIVATE LIMITED | AAGCM0214P | | 252000 | | | 252000 | 1.384 | 252000 | | | 1.384 | 252000 | 1.384 | | 252000 |
| | MARKS N GLIX AUTOMOTIVES PRIVATE LIMITED | AAICA2105K | | 870000 | | | 870000 | 4.777 | 870000 | | | 4.777 | 870000 | 4.777 | | 870000 |
| | MARKS N GLIX MOTORS PRIVATE LIMITED | AAFCM5831R | | 252000 | | | 252000 | 1.384 | 252000 | | | 1.384 | 252000 | 1.384 | | 252000 |
| | NAV DURGA VINCOM PRIVATE LIMITED | AADCN2749P | | 252000 | | | 252000 | 1.384 | 252000 | | | 1.384 | 252000 | 1.384 | | 252000 |
| | NIRMALA MERCHANDISE PRIVATE LIMITED | AADCN1590N | | 302000 | | | 302000 | 1.658 | 302000 | | | 1.658 | 252000 | 1.384 | | 302000 |
| | NIRMALKUNJ SALES PRIVATE LIMITED | AAECN0439K | | 252000 | | | 252000 | 1.384 | 252000 | | | 1.384 | 252000 | 1.384 | | 252000 |
| | OMATIC VYAPAAR PVT. LTD | | | 18000 | | | 18000 | 0.099 | 18000 | | | 0.099 | | | | 18000 |
| | PANCHRATAN MERCANTILE PRIVATE LIMITED | AAFCP4281Q | | 252000 | | | 252000 | 1.384 | 252000 | | | 1.384 | 252000 | 1.384 | | 252000 |
| | PURPOSIVE TRADERS PVT LTD | AAECP3908M | | 5000 | | | 5000 | 0.027 | 5000 | | | 0.027 | | | | 5000 |
| | RUDRAMUKHI VINTRADE PRIVATE LIMITED | AAFCR9464F | | 252000 | | | 252000 | 1.384 | 252000 | | | 1.384 | 252000 | 1.384 | | 252000 |
| | SATYAM PROJECTS LTD | | | 10000 | | | 10000 | 0.055 | 10000 | | | 0.055 | | | | 10000 |
| | SHIVDHARA SUPPLIERS PRIVATE LIMITED | AAANC5205M | | 302500 | | | 302500 | 1.661 | 302500 | | | 1.661 | 252000 | 1.384 | | 302500 |
| | TRENDON DISTRIBUTORS PRIVATE LIMITED | AAACT2786M | | 516509 | | | 516509 | 2.836 | 516509 | | | 2.836 | 466509 | 2.561 | | 466509 |
| | Trusts | | | | | | | | | | | | | | | |
| | Clearing Members | | | | | | | | | | | | | | | |
| | Sub-Total (A) (1) | | 25 | 5450109 | | | 5450109 | 29.924 | 5450109 | | | 29.924 | 5075709 | 27.868 | | 5343109 |

(2) Foreign
(a) Individuals (Non-Resident Individuals/Foreign Individuals)

TABLE II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

| Category | Name of shareholders | PAN | Nos. of Shareholders | No. of Fully Paid-up Equity shares Held | No. of Partly Paid-up Equity shares Held | No. of Shares Underlying Depository Receipt | Total Nos. Shares Held | Shareholding Calculated as per SCRB, 1957 As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying outstanding convertible securities (including Warrants) (X) | Shareholding as % assuming full conversion of (XII) | Number of Locked in shares (XIII) | Number of Shares pledged or otherwise encumbered (XIII) | Number of Equity Shares Held in dematerialized form (XIV) |
|---|----------------------|-------|----------------------|---|--|---|------------------------|--|---|---------|---------|--|---|-----------------------------------|---|---|
| | | | | | | | | | Class X | Class Y | Total | | | | | |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) = (IV)+(V)+(VI) | (VIII) | | | | | | | | | |
| (b) Government (c) Institutions (d) Foreign Portfolio Investor (e) Any Other OCBs | | | | | | | | | | | | | | | | |
| Sub-Total (A) (2) | | | | | | | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A) (2) | | | 25 | 5450109 | | | 5450109 | 29.924 | 5450109 | | 5450109 | 29.924 | 29.924 | 5075709 | 27.868 | 5343109 |

TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

| Category | Name of shareholders | PAN | Nos. of Shareholders | No. of Fully Paid-up Equity shares Held | No. of Partly Paid-up Equity shares Held | No. of Shares Underlying Depository Receipt | Total Nos. Shares Held | Shareholding Calculated as per SCRB, 1957 | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying outstanding securities (including Warrants) (X) | Shareholding % assuming full conversion of securities (as a % of diluted share capital) (XI)-(VII)+(X) as a % of (A)+(B)+(C) | Number of Locked in shares (XII) | Number of Shares pledged or otherwise encumbered (XIII) | Number of Equity Shares Held in demat form (XIV) |
|---|--|-------|----------------------|---|--|---|------------------------|---|---|----------|--------|--|--|----------------------------------|---|--|
| | | | | | | | | | No. of Voting Rights | | | | | | | |
| | | | | | | | | | Class X | Class Y | Total | | | | | |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) = (IV)+(V)+(VI) | (VIII) | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | |
| (1) Institutions | | | | | | | | | | | | | | | | |
| (a) Mutual Funds | | | | | | | | | | | | | | | | |
| (b) Venture Capital Funds | | | | | | | | | | | | | | | | |
| (c) Alternate Investment Funds | | | | | | | | | | | | | | | | |
| (d) Foreign Venture Capital Investors | | | | | | | | | | | | | | | | |
| (e) Foreign Portfolio Investors | | | | | | | | | | | | | | | | |
| (f) Financial Institutions/Banks | | | | | | | | | | | | | | | | |
| (g) Insurance Companies | | | | | | | | | | | | | | | | |
| (h) Provident Funds/Pension Funds | | | | | | | | | | | | | | | | |
| (i) Any Other | | | | | | | | | | | | | | | | |
| Foreign Institutional Investors | | | | | | | | | | | | | | | | |
| QFI - Corporate | | | | | | | | | | | | | | | | |
| Sub-Total (B) (1) | | | | | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | |
| (2) Central Government/State Government(s)/President of India | | | | | | | | | | | | | | | | |
| Sub-Total (B) (2) | | | | | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | |
| (3) Non-Institutions | | | | | | | | | | | | | | | | |
| (a) Individuals | | | | | | | | | | | | | | | | |
| | i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs. | | 144 | 1237250 | | | 1237250 | 6.793 | 1237250 | 1237250 | 6.793 | 6.793 | | | | 1164250 |
| | ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs. | | 21 | 995000 | | | 995000 | 5.463 | 995000 | 995000 | 5.463 | 5.463 | | | | 495000 |
| (b) NBFCs registered with RBI | | | | | | | | | | | | | | | | |
| (c) Employee Trusts | | | | | | | | | | | | | | | | |
| (d) Overseas Depositors (holding DRs) (balancing figure) | | | | | | | | | | | | | | | | |
| (e) Any Other | | | | | | | | | | | | | | | | |
| | Bodies Corporate | | 21 | 10531041 | | | 10531041 | 57.820 | 10531041 | 10531041 | 57.820 | 57.820 | | | | 10481041 |
| | BHAGWAT MARCOM PRIVATE LIMITED AAEBCB9502D | | | 510000 | | | 510000 | 2.800 | 510000 | 510000 | 2.800 | 2.800 | | | | 510000 |
| | COOLHUT MARKETING PRIVATE LIMITED AAECC7664FD | | | 510000 | | | 510000 | 2.800 | 510000 | 510000 | 2.800 | 2.800 | | | | 510000 |
| | DARSHAN ENCLAVE PRIVATE LIMITED AADCD8825CED | | | 510000 | | | 510000 | 2.800 | 510000 | 510000 | 2.800 | 2.800 | | | | 510000 |
| | INTIME COMMDEAL PRIVATE LIMITED AACCI6889E TED | | | 378000 | | | 378000 | 2.075 | 378000 | 378000 | 2.075 | 2.075 | | | | 378000 |
| | LONGVIEW SUPPLIERS PRIVATE LIMITED AABCL6956E MITED | | | 2163391 | | | 2163391 | 11.878 | 2163391 | 2163391 | 11.878 | 11.878 | | | | 2163391 |
| | MANGALSHREE SALES LIMITED AAHCM3535A | | | 656450 | | | 656450 | 3.604 | 656450 | 656450 | 3.604 | 3.604 | | | | 656450 |
| | MANGALSUDHA INFRASTRUCTURE PRIVATE LIMITED AAHCM8135G | | | 510000 | | | 510000 | 2.800 | 510000 | 510000 | 2.800 | 2.800 | | | | 510000 |
| | PINKROSE DEALCOMM LIMITED AAGCP1422J | | | 895200 | | | 895200 | 4.915 | 895200 | 895200 | 4.915 | 4.915 | | | | 895200 |
| | PUSHPRATAN INVESTMENT AAFCP5260P | | | 195000 | | | 195000 | 1.071 | 195000 | 195000 | 1.071 | 1.071 | | | | 195000 |

TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

| Category | Name of shareholders | PAN | Nos. of Shareholders | No. of Fully Paid-up Equity shares Held | No. of Partly Paid-up Equity shares Held | No. of Shares Underlying Depository Receipt | Total Nos. Shares Held (VII) = (IV)+(V)+(VI) | Shareholding Calculated as per SCRB, 1957 (As a % of (A+B+C2)) | Number of Voting Rights held in each class of securities (IX) | | | | No. of Shares Underlying outstanding convertible securities (including Warrants) (X) | Shareholding as % assuming full conversion of (XII) | Number of Locked in shares (XIII) | Number of Shares pledged or otherwise encumbered (XIII) | Number of Equity Shares Held in dematerialized form (XIV) |
|----------|---|------------|----------------------|---|--|---|--|--|---|---------|----------|-------------------------------------|--|---|-----------------------------------|---|---|
| | | | | | | | | | Class X | Class Y | Total | Total as a % of total voting Rights | | | | | |
| | RECON AGENCIES LIMITED | AAFCR4184A | | 895500 | | | 895500 | 4.917 | 895500 | | 895500 | 4.917 | | 895500 | | 895500 | |
| | SUBHAM SALES PRIVATE LIMITED | AARCS1576P | | 510000 | | | 510000 | 2.800 | 510000 | | 510000 | 2.800 | | 510000 | | 510000 | |
| | SUBHKARI MARKETING PRIVATE LIMITED | AARCS2798H | | 510000 | | | 510000 | 2.800 | 510000 | | 510000 | 2.800 | | 510000 | | 510000 | |
| | SUNGOLD SHOPPERS LIMITED | AAQCS1703B | | 664300 | | | 664300 | 3.647 | 664300 | | 664300 | 3.647 | | 664300 | | 664300 | |
| | TIGERHILL TRADELINK PRIVATE LIMITED | AADCT9251A | | 895200 | | | 895200 | 4.915 | 895200 | | 895200 | 4.915 | | 895200 | | 895200 | |
| | TOPFLOW ABASAN PRIVATE LIMITED | AAECT5516B | | 510000 | | | 510000 | 2.800 | 510000 | | 510000 | 2.800 | | 510000 | | 510000 | |
| | D QFI - Individual NRI / OCBs Trusts Clearing Members | | | | | | | | | | | | | | | | |
| | Sub-Total (B) (3) | | 186 | 12763291 | | | 12763291 | 70.076 | 12763291 | | 12763291 | 70.076 | | 12763291 | | 12140291 | |
| | Total Public Shareholding (B) = (B) (1) + (B) (2) + (B) (3) | | 186 | 12763291 | | | 12763291 | 70.076 | 12763291 | | 12763291 | 70.076 | | 12763291 | | 12140291 | |

TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER

| Category | Name of shareholders | PAN | Nos. of Shareholders | No. of Fully Paid-up Equity shares Held | No. of Partly Paid-up Equity shares Held | No. of Shares Underlying Depository Receipt | Total Nos. Shares Held (VII) = (IV)+(V)+(VI) | Shareholding Calculated as per SCRB, 1957 (VIII) = (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying outstanding securities (including Warrants) (X) | Shareholding as % assuming full conversion of (XII) = (XI)-(VII)+(X) as a % of (A)+(B)+(C) | Number of Locked in shares (XIII) | Number of Shares pledged or otherwise encumbered (XIII) | Number of Equity Shares Held in demat form (XIV) |
|----------|----------------------|-------|----------------------|---|--|---|--|---|---|---------|-------|--|--|-----------------------------------|---|--|
| | | | | | | | | | Class X | Class Y | Total | | | | | |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | | | | | | | | | |

- (1) Custodian DR Holder
- (2) Employee Benefit Trust (under SEBI (share based Employee Benefit) Regulations, 2014

Total Non-Promoter - Non-Public Shareholding (C) = (C) (1) + (C) (2)